



PACKING AND COVERING LEMMA  
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COMMUNICATION FOR COMPUTING

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# OUTLINE

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- ❖ Packing lemma
- ❖ Covering lemma
- ❖ Communication for computing

# PACKING LEMMA

- ❖ Fix  $p(x)$  and channel  $p(y|x)$
- ❖ Now, according to  $p(x)$ , construct  $2^{nR}$  code words, i.i.d.

with length  $n$  :

$$X^n(m) \sim \prod_{i=1}^n p(x_i)$$

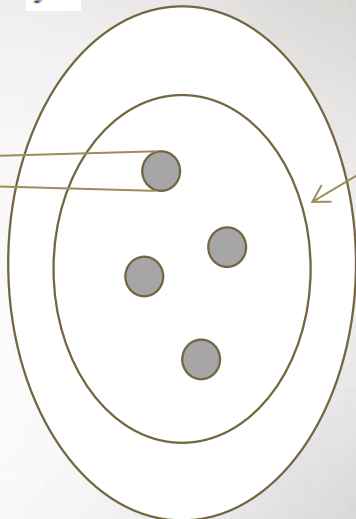
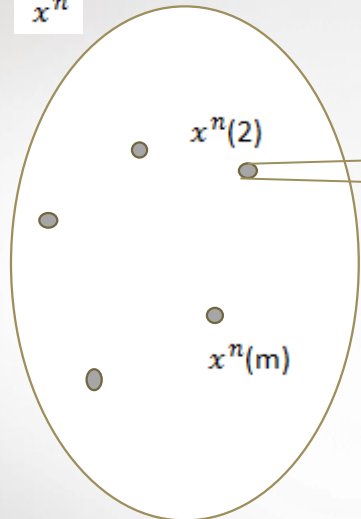
- ❖ Suppose  $\hat{X}(1)$  is our message and  $\tilde{Y}^n$  is our output.

# OBJECTIVE

❖  $\tilde{Y}^n, X^n(m)$   $m \in [2, 2^{nR}]$  are NOT Jointly Typical!

$x^n$

$y^n$



$\bar{y}_n^\epsilon(y)$

$$R < I(x, y) \Rightarrow \frac{2^{n(H(y|x)+R)}}{2^{nH(y)}} \rightarrow 0$$

# SIMPLIFIED PACKING LEMMA

Probability that  $\tilde{Y}^n$  and  $X^n(m)$   $m \in [2, 2^{nR}]$  are joint typical goes to zero if  $R < I(X; Y)$

❖  $X^n(m)$  and  $\tilde{Y}^n$  are independent and uniformly chosen.



# GENERALIZE PACKING LEMMA

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We generalize packing in 3 steps:

1.  $\tilde{Y}^n$  with arbitrary distribution.
2. Dependency of code words to each other.
3. Structured Code Book.

# $\tilde{Y}^n$ WITH ARBITRARY DISTRIBUTION

❖ Suppose  $\tilde{Y}^n$  is not necessarily the output of channel related to message 1, and it has an arbitrary distribution.

❖ We suppose  $\tilde{Y}^n, X^n(m)$  are independent, we have:

$$R < I(X, Y)$$

$$\Rightarrow \lim_{n \rightarrow \infty} P(\exists m \ 1 \leq m \leq 2^{nR}: (X^n(m), \tilde{Y}^n) \in \tilde{\tau}_\varepsilon^n(x, y)) = 0$$



# DEPENDENCY OF CODE WORDS TO EACH OTHER

- ❖ By looking at proof of previous slide we understand that:
  - $\tilde{Y}^n$  and  $X^n(m)$   $m \in [1, 2^{nR}]$  are independent.
  - Marginal distribution of  $X^n(m)$  should be i.i.d.
- ❖ So, we don't need independency of code words and independency of each code words and  $\tilde{Y}^n$  suffice. To have the same result.

# STRUCTURED CODE BOOK

❖ It means we have a relationship or closeness among code words .

❖ A common way is :

- Let  $p(U, X)$ .
- Generate  $\tilde{U}^n$  i.i.d distribution of  $p(U)$
- Send  $\tilde{U}^n$  through channel  $p(X|U)$   $2^{nR}$  times.
- Have  $2^{nR}$  code words according to

$$X^n(m) \sim \prod_{i=1}^n p_{X|U}(x_i | \hat{u}_i)$$

# OBJECTIVE

❖ Suppose  $\hat{X}(1)$  is our message and  $\tilde{Y}^n$  is our output.

We want to find conditions on  $R$  such that  $\tilde{Y}^n$  and  $X^n(m)$   $m \in [2, 2^{nR}]$  would NOT be jointly typical.

❖ We know that  $X^n(m) \rightarrow U \rightarrow \tilde{Y}^n$

# CONT.

We generated  $\tilde{U}^n, X^n, \tilde{Y}^n$  *i. i. d.* from

$$q(u, x, y) = p(u)p(x|u)p(y|u)$$

So, the probability which is equal to  $p(u, x, y)$  is

$$2^{-nD(p(u,x,y)||q(u,x,y))} = 2^{-nI(X;Y|U)}$$

# PACKING LEMMA

Consider  $p(u, x, y)$  on  $(U, X, Y)$ . Suppose  $\tilde{Y}^n, \tilde{U}^n$  have an arbitrary distribution  $p(\tilde{U}^n, \tilde{Y}^n)$ .  $X^n(m)$  is  $2^{nR}$  sequences such that

$$P(X^n(m) = x^n \mid \tilde{U}^n = \tilde{u}^n) = \prod p_{X|U}(x_i | \tilde{u}_i)$$

We also have  $X^n(m) \rightarrow \tilde{U}^n \rightarrow \tilde{Y}^n$  then,

$$R < I(X; Y | U)$$

$$\Rightarrow \lim_{n \rightarrow \infty} P(\exists m \ 1 \leq m \leq 2^{nR}: (\tilde{U}^n, \tilde{Y}^n, X(m)) \in \tilde{\tau}_\varepsilon^n(U, X, Y)) = 0$$

# COVERING LEMMA

In packing lemma we saw that

$$R < I(X; Y) \Rightarrow P\{\exists m \in [1, 2^{nR}]: (X(m), \tilde{Y}^n) \in \tilde{\tau}_\varepsilon^n(X, Y)\} \rightarrow 0$$

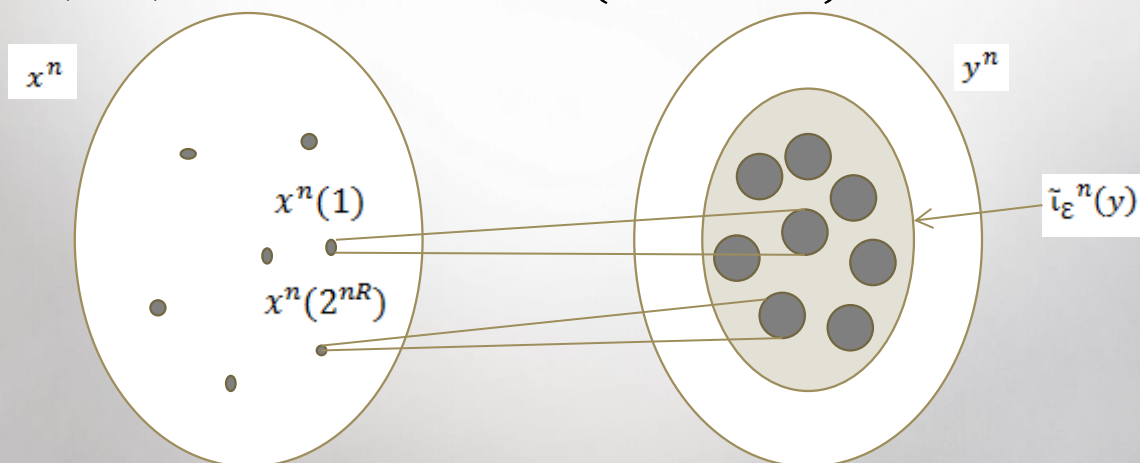
No, what if  $R > I(X; Y)$ ?

Answer: Covering lemma

# COVERING LEMMA

$\tilde{Y}^n$  is a typical sequence with distribution of  $p(y)$  then

$$R > I(X; Y) \Rightarrow P\{\exists m \in [1, 2^{nR}]: (X^n(m), \tilde{Y}^n) \in \tilde{\tau}_\epsilon^n(X, Y) \} \rightarrow 1$$



# COMPARISON

- ❖ If number of points is less than  $2^{-n(I(X;Y)-\varepsilon)}$   
 $\Rightarrow$  *grey circles have no intersection.*
- ❖ If number of points is greater than  $2^{-n(I(X;Y)+\varepsilon)}$   $\Rightarrow$  *grey circles cover  $\tilde{\mathcal{I}}_\varepsilon^n$ .*
- ✓ So,  $I(X; Y)$  causes a change in phase.



# NOTE

When  $R < I(X; Y)$ , we don't have a good book for channel coding.

we use packing lemma in channel coding and covering lemma in source coding.

# COVERING LEMMA

Because, we use this lemma in source coding we are going to change the notation:

$(U, X, \hat{X}) \sim p(u, x, \hat{x})$  and  $X^n, U^n$  have an arbitrary distribution such that:

$$P((U^n, X^n) \in \tilde{\tau}_\varepsilon^n(U, X)) \rightarrow 1 \text{ and } \hat{X}^n(m) \ m \in [1, 2^{nR}]$$

are generated independently by sending  $\tilde{U}^n$  through  $p_{\hat{X}|U}$

# CONT.

i.e.

$$p(\hat{X}^n(m) = \hat{x}^n | U^n = u^n) = \prod_{i=1}^n p_{\hat{X}|U}(\hat{x}_i | u_i)$$

and

$$p(\hat{X}^n(1), \dots, \hat{X}^n(2^{nR}) | U^n) = \prod_{m=1}^{2^{nR}} p(\hat{X}^n(m) | u_i)$$

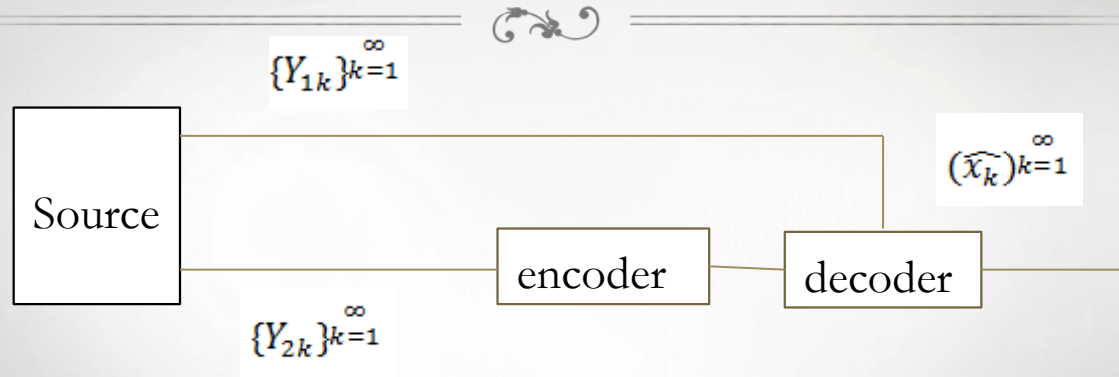
We also have

$$\hat{X}^n(1), \dots, \hat{X}^n(2^{nR}) \rightarrow U^n \rightarrow X^n$$

Then

$$R > I(X; \hat{X} | U) \Rightarrow P\{\exists m \in [1, 2^{nR}]: (U^n, X^n, \hat{X}^n(m)) \in \tilde{\tau}_\varepsilon^n(U, X, \hat{X})\} \rightarrow 1$$

## WYNER- ZIV THEORY FOR A GENERAL FUNCTION OF CORROLATED SOURCE



- ❖ In some cases, The user at decoder will be satisfied with estimating the value of  $X = G(Y_1, Y_2)$  instead of  $Y_2$ .

❖ Let  $\mathcal{Y}_1, \mathcal{Y}_2, \mathcal{X}, \hat{\mathcal{X}}$  finite sets.

❖  $(Y_{1k}, Y_{2k})_{k=1}^{\infty}$  a sequence of i.i.d pairs of dependent r.v.  $(Y_1, Y_2)$

❖  $G: \mathcal{Y}_1 * \mathcal{Y}_2 \rightarrow \mathcal{X}$

❖  $\mathcal{X} * \hat{\mathcal{X}} \rightarrow [0, +\infty)$  : distortion function

Objective : Decoder desires to reproduce  $X = G(Y_1, Y_2)$  with a distortion  $D$ .

Define : code( $F_E, F_D$ ) for G:

$$F_E : \mathfrak{Y}_2^n \rightarrow I_M$$

$$F_D : \mathfrak{Y}_1^n * I_M \rightarrow \hat{X}^n$$

$$\Delta = \mathbb{E} \left( \frac{1}{n} \sum D(X_k, \hat{X}_k) \right)$$

Def:  $(R, D)$  is said to be achievable for function of  $G$  if, for  $\epsilon > 0$  and  $n$  sufficiently large, there exists a code  $(F_E, F_D)$  which satisfies

$$M \leq 2^{n(R + \epsilon)}$$

$$\Delta \leq d + \epsilon$$

$\mathcal{R}$  = Set of all achievable  $(R, D)$

$$R(d) = \min R$$

$$(R, D) \in \mathcal{R}$$

ThM: For  $d \geq 0$

$$R(d) = \min_{\widehat{Y}_2 \in p(d)} I(Y_2; \widehat{Y}_2 | Y_1)$$

$p(d)$  = Set of all  $\widehat{Y}_2 \in \widehat{\mathcal{Y}}_2$  which:

- $\widehat{Y}_2 \rightarrow Y_2 \rightarrow Y_1$
- $\exists f : \mathcal{Y}_1 * \widehat{\mathcal{Y}}_2 \rightarrow \widehat{\mathcal{X}} : E(D(G(Y_1, Y_2), f(Y_1, \widehat{Y}_2))) \leq D$